



THOMSON REUTERS

Filing Instructions

PLEASE FILE IMMEDIATELY!

UPDATE 149

OCTOBER 2024

ASIC CORPORATE INVESTIGATIONS AND HEARINGS

ATTENTION: Before filing this update please ensure that Update 148 has been filed – check behind the tabcard Filing Instructions/Summaries at the back of the volume 2.

Material Code 42474812

Print Post Approved PP255003/05498

© Thomson Reuters (Professional) Australia Limited 2024

Looseleaf Support Service

You can now access the current list of page numbers at

<http://www.thomsonreuters.com.au/support/product-support.aspx?id=/mediaTree/58599>. If you have any

questions or comments, or to order missing pages, please contact Customer Care LTA ANZ on 1300 304 195

Fax: 1300 304 196 Email: Care.ANZ@thomsonreuters.com

Filing Instructions

1. Check the currency of your Service

Ensure Update 148 has been filed.

2. File your updating pages

To file this update, you will need to refer to the following table.

In your binder, navigate to the Tabcard noted in the Find Tabcard column. Remove the pages listed in the Discard old pages column, then replace the discarded pages with the newly issued pages as listed in the Insert new pages column. Ensure that you follow one Filing Instruction row at a time.

Find Tabcard	Discard old pages	Insert new pages
VOLUME 1		
Contents	51 – 52	51 – 52
Table of Cases	301 – 384	301 – 384
Table of Statutes	401 – 438	401 – 440
Index	751 – 900.10	751 – 900.10
New Developments	ND-1 – ND-42	ND-1 – ND-40
Policy and legal framework	10-1059 – 10-1124	10-1059 – 10-1126
	10-3061 – 10-3066	10-3061 – 10-3066
	10-5055 – 10-5056	10-5055 – 10-5056
	10-6053 – 10-6054	10-6053 – 10-6054
Persons affected by ASIC	20-3067 – 20-3072	20-3067 – 20-3072
	20-6057 – 20-6064	20-6057 – 20-6064
ASIC informal inquiries	30-9051 – 30-9054	30-9051 – 30-9054
ASIC investigations	40-3051 – 40-5144	40-3051 – 40-5144
ASIC proceedings on investigations	80-2409 – 80-2410	80-2409 – 80-2410

Find Tabcard	Discard old pages	Insert new pages
	80-2501 – 80-2634	80-2501 – 80-2636
	80-3053 – 80-3054	80-3053 – 80-3054
	80-4075 – 80-4348	80-4075 – 80-4350
	80-4415 – 80-4448	80-4415 – 80-4448
	80-4703 – 80-5212	80-4703 – 80-5212
	80-11107 – 80-11110	80-11107 – 80-11110
	80-11301 – 80-11314	80-11301 – 80-11316
VOLUME 2		
Contents	2-51 – 2-52	2-51 – 2-52
ASIC hearings	90-3057 – 90-3176	90-3057 – 90-3178
Conduct of hearings	100-3507 – 100-3510	100-3507 – 100-3510
	100-4053 – 100-4066	100-4053 – 100-4068
Release of information	110-9059 – 110-9094	110-9059 – 110-9096
Enforcement	120-11059 – 120-11062	120-3055 – 120-11064
Legal professional privilege	150-2609 – 150-2620	150-2609 – 150-2620
Review	160-51 – 160-54	160-51 – 160-54
	160-2051 – 160-2062	160-2051 – 160-2062
	160-2615 – 160-2664	160-2615 – 160-2668
Regulatory guides and materials	180-51 – 180-52	180-51 – 180-52
	180-10103 – 180-10104	180-10103 – 180-10104
	180-10235 – 180-10236	180-10235 – 180-10236
	180-18601 – 180-18602	180-18601 – 180-18602
	180-18643 – 180-18658	180-18643 – 180-18656
	180-22103 – 180-22104	180-22103 – 180-22158

Looseleaf Support Service

To see the current list of page numbers or check missing/misfiled pages, access a pdf containing the current list of page numbers for your service on the Looseleaf Support Center pages at: <https://legal.thomsonreuters.com.au/support/> or Customer Care LTA ANZ on 1300 304 195 (Email: Care.ANZ@thomsonreuters.com).