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# Filing Instructions

**PLEASE FILE IMMEDIATELY!**

**UPDATE 148**

**AUGUST 2024**

## **ASIC CORPORATE INVESTIGATIONS AND HEARINGS**

ATTENTION: Before filing this update please ensure that Update 147 has been filed – check behind the tabcard Filing Instructions/Summaries at the back of the volume 2.

**Material Code 42474811**

**Print Post Approved PP255003/05498**

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# Filing Instructions

## 1. Check the currency of your Service

Ensure Update 147 has been filed.

## 2. File your updating pages

To file this update, you will need to refer to the following table.

In your binder, navigate to the Tabcard noted in the Find Tabcard column. Remove the pages listed in the Discard old pages column, then replace the discarded pages with the newly issued pages as listed in the Insert new pages column. Ensure that you follow one Filing Instruction row at a time.

## 3. Move content between binders

VOLUME 1		
Title card	Pages	Move to...
ASIC hearings	90-51 – 90-3710	Volume 2 – after page 2-52
Conduct of hearings	100-51 – 100-7052	Volume 2 – after page 90-3710

Find Tabcard	Discard old pages	Insert new pages
VOLUME 1		
Contents	51 – 52	51 – 52
Table of Cases	301 – 382	301 – 384
Table of Statutes	401 – 438	401 – 438
Index	751 – 900.8	751 – 900.10
Policy and legal framework	10-1059 – 10-1122	10-1059 – 10-1124
	10-6061 – 10-6070	10-6061 – 10-6070
Persons affected by ASIC	20-1053 – 20-1054	20-1053 – 20-1054

<b>Find Tabcard</b>	<b>Discard old pages</b>	<b>Insert new pages</b>
	20-3067 – 20-4062	20-3067 – 20-4062
	20-7051 – 20-7076	20-7051 – 20-7076
<b>ASIC informal inquiries</b>	30-4053 – 30-4056	30-4053 – 30-4056
	30-9051 – 30-9052	30-9051 – 30-9054
<b>ASIC investigations</b>	40-3051 – 40-6056	40-3051 – 40-6056
	40-13055 – 40-13056	40-13055 – 40-13056
<b>Examinations</b>	50-51 – 50-52	50-51 – 50-52
	50-3055 – 50-3064	50-3055 – 50-3066
<b>Powers relating to books</b>	60-51 – 60-52	60-51 – 60-52
	60-5951 – 60-5952	60-5951 – 60-5952
<b>ASIC reports on investigations</b>	70-1051 – 70-6102	70-1051 – 70-6102
<b>ASIC proceedings on investigations</b>	80-2103 – 80-2106	80-2103 – 80-2106
	80-2401 – 80-2634	80-2401 – 80-2634
	80-3051 – 80-4614	80-3051 – 80-4614
	80-5051 – 80-6062	80-5051 – 80-6062
	80-11105 – 80-11256	80-11105 – 80-11256
	80-11851 – 80-11862	80-11851 – 80-11862
	80-13055 – 80-13056	80-13055 – 80-13056
<b>ASIC hearings</b>	90-51 – 90-3710	-
<b>Conduct of hearings</b>	100-51 – 100-7052	-
<b>VOLUME 2</b>		
<b>Contents</b>	2-51 – 2-52	2-51 – 2-52
<b>ASIC hearings</b>	-	90-51 – 90-3710

<b>Find Tabcard</b>	<b>Discard old pages</b>	<b>Insert new pages</b>
<b>Conduct of hearings</b>	-	100-51 – 100-7052
<b>Release of information</b>	110-3053 – 110-3056	110-3053 – 110-3056
	110-9065 – 110-9094	110-9065 – 110-9094
<b>Enforcement</b>	120-11061 – 120-11062	120-11061 – 120-11062
<b>Excuses for non-compliance</b>	130-3053 – 130-3084	130-3053 – 130-3084
<b>Self-incrimination and penalty privileges</b>	140-51 – 140-52	140-51 – 140-52
	140-5263 – 140-5274	140-5263 – 140-5274
<b>Legal professional privilege</b>	150-101 – 150-2618	150-101 – 150-2620
<b>Review</b>	160-2661 – 160-2664	160-2661 – 160-2664
<b>Regulatory guides and materials</b>	180-10209 – 180-10234	180-10209 – 180-10236

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