## JOURNAL OF LAW AND MEDICINE

Volume 16, Number 3

December 2008

EDITORIAL - Ian Freckelton SC

#### Reforming coronership: International perspectives and contemporary developments

In the 2007-2009 period an important new phase of coronial law reform has commenced. In 2007 New Zealand showed the way with the Coroners Act 2006 (NZ) coming into force with a broad approach to the role of coroners and an emphasis upon the obligations of coroners to be sensitive to familial and cultural needs. 2007 and 2008 also saw Bills for reform of coronial processes before the Irish, United Kingdom and Victorian parliaments. In 2008 the Goudge Inquiry into errors in paediatric pathology made recommendations for systemic reform of the coronial office and its administration and accountability in Ontario, Canada. In 2008 reviews of the Australian Capital Territory and Western Australian coroners legislation also commenced. This editorial identifies the main features of the reforms and proposed reforms starting in 2007, contextualising the initiatives from an international perspective, and isolating the core issues that confront those advancing proposals to modernise the institution of coronership. It calls for an internationally and empirically informed approach to legislative change in order to facilitate the avoidance of avoidable deaths and to enable clarification of the public record in relation to unclear circumstances and causation of death. 379

LEGAL ISSUES - Joanna Manning

#### Criminal allegations disciplinary involving health in cases practitioners – Joanna Manning

Recently the Supreme Court of New Zealand decided that the standard of proof in disciplinary proceedings against a registered health practitioner is the ordinary, civil "balance of probabilities" standard, even in cases where criminal or serious allegations are made. Adopting recent House of Lords' case law, it rejected the existence of a third standard of proof, the "flexible" or "heightened" civil standard commensurate with the seriousness of the issue involved. Neither did the court consider direct application of the criminal, "beyond reasonable doubt" standard appropriate in disciplinary proceedings. Secondly, the court adopted a new principle that it is an abuse of process to bring a disciplinary charge against a practitioner, which is the same or substantially the same as that which he or she faced in the criminal proceedings and which resulted in an acquittal. It is not, however, an abuse to bring disciplinary charges after a criminal acquittal based on the same conduct, providing the disciplinary charges address wider aspects of the practitioner's conduct. The court was split on both issues. This column analyses the 

MEDICAL ISSUES - Russ Scott

#### Court-ordered treatment for serious offenders with mental illness – Russ Scott

The insanity defence was elaborated upon through case authority which paralleled the development of phenomenology and treatment of serious mental illnesses, particularly psychotic disorders. In 1843, the rules in McNaughton's Case established a clear formulation for determining whether a person with a mental illness may be held to have

been not criminally responsible at the time of an offence. The current legislative scheme in Queensland incorporates the most modern application of the defence of insanity and diminished responsibility and provides the most efficient mechanism by which mentally ill offenders are diverted into care and treatment.

#### COMPLEMENTARY HEALTH ISSUES – Ian Freckelton

#### **Regulating the unregistered** – *Ian Freckelton*

A high proportion of health services is provided by complementary health practitioners who are not subject to formal regulation via a statutory registration scheme. The risk is that such practitioners are not subject to effective forms of professional accountability in relation to services which have the potential to be seriously counter-therapeutic for their clients if they breach fundamental norms of propriety generally accepted within their professions and expected by their clients. Consideration has been given in both South Australia and Victoria to increasing the oversight over complementary health professionals. New South Wales and New Zealand have gone further, bringing such practitioners within the rubric of regulation. The operation of these schemes is reviewed and it is argued that there is a need to ensure that all health practitioners are subject to effective regulation in relation to their adherence to fundamental ethical obligations.

#### MEDICAL LAW REPORTER - Thomas Faunce

#### University of Western Australia v Gray: An academic duty to commercialise research? – Tim Vines and Tom Faunce

In an era of tightening university budgets and pressure to commercialise academic knowledge, many higher education institutions see the exploitation of new inventions and discoveries, through the use of patents, as an additional revenue stream. To that end, many such organisations have in place policies and by-laws which regulate "ownership" and disclosure of inventions created by employees. This can be seen as a continuation of an ongoing process of shifting universities from institutes of "pure research" to commercial operations, seeking to maximise financial gains from the efforts of their researchers. However, new opportunities present new risks. One of the last Federal Court decisions by the High Court of Australia's new Chief Justice, Justice French, in University of Western Australia v Gray [2008] FCA 498 explores some of the challenges which Australian university administrators and policy developers will need to overcome if an appropriate balance between private interests and public good is to be maintained in this context. ..... 419

## **ARTICLES**

#### Death certification: A comparison of the situation in the United Kingdom, **Queensland and Victoria** – *Howard Munro*

The best way to monitor the death certification process has been examined by numerous law reform inquiries and discussion papers in recent years in the United Kingdom, Oueensland and Victoria. The situation in the United Kingdom is of special interest, given its extensive deliberations on the topic and the plethora of discussion papers issued to date by various government agencies. This article focuses on technical legal analysis rather than health policy or resource allocation issues. The existing legislation in each of the jurisdictions plus the proposals for law reform are given detailed consideration. .....

426

#### Non-adversarial justice and the coroner's court: A proposed therapeutic, restorative, **problem-solving model** – *Michael S King*

Increasingly courts are using new approaches that promote a more comprehensive resolution of legal problems, minimise any negative effects that legal processes have on

participant wellbeing and/or that use legal processes to promote participant wellbeing. Therapeutic jurisprudence, restorative justice, mediation and problem-solving courts are examples. This article suggests a model for the use of these processes in the coroner's court to minimise negative effects of coroner's court processes on the bereaved and to promote a more comprehensive resolution of matters at issue, including the determination of the cause of death and the public health and safety promotion role of the coroner. ......

442

# The coronial system in Queensland: The effects of new legislation on decision-making – Belinda Carpenter, Michael Barnes, Glenda Adkins, Charles Naylor, Gordon Tait and Nelufa Begum

The purpose of this article is to detail research completed in 2007 which investigated the way in which coroners made decisions in a death investigation, with a particular focus on their autopsy decision-making. The data were gathered during the first year of operation of a new *Coroners Act* in Queensland, Australia, which required a greater amount of information to be gathered at the scene by police, and this included a thorough investigation of the circumstances of the death, including statements from witnesses, friends and family, as well as evidence-gathering at the scene. This article addresses the outcomes of that increased information on coronial decision-making in three ways: first, whether or not the greater amount of information offered to coroners enabled them to be less reliant on full internal autopsies to establish cause of death; secondly, whether certain factors were more influential in decision-making; and thirdly, whether the information gathered at the scene negates the need for full internal autopsies in many situations, irrespective of the decision-making by coroners.

458

# Solicitors and enduring documents: Current practice and best practice – *Lindy Willmott* and *Ben White*

Queensland's guardianship legislation requires that a witness to an enduring power of attorney or advance health directive not only certify that the principal signed the document in their presence, but also that the principal appeared to have the capacity necessary to make the enduring document. This article examines how solicitors fulfil this obligation to certify the principal's capacity when witnessing these documents, drawing on empirical research and a review of publicly available court and tribunal decisions. The article concludes that the current practice of solicitors when certifying the capacity of principals to complete these documents falls short of best practice.

466

#### "Good character" and the regulation of medical practitioners – Ian Freckelton SC

In Australia and the United Kingdom, but not New Zealand, the notions of "good character" and "fitness to practise" are relevant thresholds to registration, deregistration and re-registration of medical practitioners, as well as in a number of other legal contexts. This article surveys different cultural and professional perspectives on "good character" and reviews the extensive case law which has interpreted the expression in relation to doctors' regulation. It acknowledges the conceptual shortcomings of "character" as a psychological construct, especially when it is postulated by way of a simplistic dichotomy between "good" and "bad" character. It argues, though, that the legitimate public expectation that medical practitioners adhere to high standards of ethical conduct justifies a requirement that they conduct themselves in such a way as not to diminish the confidence that members of the public are entitled to have in the profession of medicine and the trustworthiness of its practitioners. This can most effectively be done by replacing the concept of "character" with the requirement that a doctor be, and remain, "a fit and proper person" to be registered.

488

(2008) 16 JLM 373 375

#### Procedural fairness in medical investigations disciplinary proceedings - Timothy Bowen and Andrew Saxton

In recent times, certain well-publicised cases involving allegations of inadequate and dangerous medical practice have led to a number of reviews into, and consequent changes to, the New South Wales medical regulatory and disciplinary system. The overwhelming focus has been upon both whether the existing system provided sufficient protection for the public from dangerous or under-performing medical practitioners and what was needed to ensure such protection. While the focus upon public protection is unquestionable and laudable, this still leaves considerable scope for ensuring a medical practitioner is afforded procedural fairness during the course of investigative and disciplinary processes. It is questionable whether procedural fairness is being afforded where to do so does not compromise the protection of the public. It is argued that only limited changes are required to create a system which affords procedural fairness without compromising the integrity of the system.

#### Victoria: screening Newborn in case study of tissue banking **regulation** – Charles Lawson

The regulation of human tissue collections is increasingly important in maintaining public trust (and legitimacy) for critical practices and resources directed to public health programs and research. This article examines the governance arrangements applying to VCGS Ltd (under its various incarnations as "Genetic Health Services Victoria", "VCGS Pathology", and so on) and the existing collection of population-wide blood samples maintained on newborn screening cards (or Guthrie cards) in Victoria. The analyses reveal a complex web of regulations (and possibly even no regulation) and the limited role of significant statutory schemes that are generally assumed to apply to human tissue collections and the data and information derived from those materials. The article argues that, without a clear regulatory framework (and in particular meaningful consent), there is likely to be a decline in public trust (and legitimacy) with a consequent decreased participation in what is a public health program with immediate and quantifiable benefits and a valuable research resource for the future. 523

## **BOOK REVIEW**

# **Guidelines for Contributors**

#### Submission and licence agreement instructions

All contributions to the journal are welcome and should be sent, with a signed licence agreement, to the Production Editor, *Journal of Law and Medicine*, Lawbook Co., PO Box 3502, Rozelle, NSW 2039 (mail), 100 Harris St, Pyrmont, NSW 2009 (courier) or by email to lta.jlm@thomsonreuters.com, for forwarding to the Editor. Licence agreements can be downloaded via the internet at <a href="http://www.thomson.com.au/support/as\_contributors.asp">http://www.thomson.com.au/support/as\_contributors.asp</a>. If you submit your contribution via email, please confirm that you have printed, signed and mailed the licence agreement to the attention of the Production Editor at the mailing address noted above.

#### Letters to the Editor

By submitting a letter to the editor of this journal for publication, you agree that Thomson Legal & Regulatory Limited, trading as Lawbook Co., may edit and has the right to, and may license third parties to, reproduce in electronic form and communicate the letter.

#### Manuscript

- · Manuscript must be original, unpublished work that has not been submitted for publication elsewhere.
- Personal details (name, qualifications, position) for publication and a delivery address, email address and phone number must be included with the manuscript.
- · Manuscript must be submitted electronically via email or on disk in Microsoft Word format.
- Manuscript should not exceed 10,000 words for articles or 2,000 words for section commentary or book reviews. An
  abstract of 100-150 words is to be submitted with article manuscripts.
- Proof pages will be sent to contributors. Authors are responsible for the accuracy of case names, citations and other references. Excessive changes to the text cannot be accommodated.
- This journal complies with the Higher Education Research Data Collection (HERDC) Specifications for peer review. Each article is, prior to publication, reviewed in its entirety by a suitably qualified expert who is independent of the author.

#### Style

#### 1. Levels of headings should be clearly indicated (no more than four levels).

#### 2 Cases

- Case citation follows case name. Where a case is cited in the text, the citation should follow immediately rather than as a footnote. Give at least two and preferably all available citations, the first listed being the authorised reference.
- Australian citations should appear in the following order: authorised series; Lawbook Co./ATP series; other company series (ie CCH, Butterworths); media neutral citation.
- "At" references should only refer to the best available citation, eg: *Mabo v Queensland [No 2]* (1992) 175 CLR 1 at 34; 66 ALJR 408; 107 ALR 1.
- Where only a media neutral citation is available, "at" references should be to paragraph, eg: YG v Minister for Community Services [2002] NSWCA 247 at [19].
- For international cases best references only should be included.

## 3. Legislation should be cited as follows:

Trade Practices Act 1974 (Cth), s 51AC. The full citation should be repeated in footnotes.

#### 4. Books should be cited as follows:

Macken JJ, O'Grady P, Sappideen C and Warburton G, The Law of Employment (5th ed, Lawbook Co., 2002) p 55.

- In footnotes do not use ibid or op cit. The following style is preferred:
- 4. Austin RP, "Constructive Trusts" in Finn PD (ed), Essays in Equity (Law Book Co, 1985).
- 5. Austin, n 4, p 56.

#### 5. Journals should be cited as follows:

Odgers S, "Police Interrogation: A Decade of Legal Development" (1990) 14 Crim LJ 220.

Wherever possible use official abbreviations not the full name for journal titles.

- In footnotes do not use ibid or op cit. The following style is preferred:
  - 6. Sheehy EA, Stubbs J and Tolmie J, "Defending Battered Women on Trial: The Battered Woman Syndrome and its Limitations" (1992) 16 Crim LJ 220.
  - 7. Sheehy et al, n 6 at 221.

## 6. Internet references should be cited as follows:

Ricketson S, *The Law of Intellectual Property: Copyright, Designs and Confidential Information* (Lawbook Co., subscription service) at [16.340], <a href="https://www.subscriber.lawbookco.com.au">http://www.subscriber.lawbookco.com.au</a> viewed 25 June 2002. Underline the URL and include the date the document was viewed.

For further information visit <a href="http://www.thomson.com.au/legal/">http://www.thomson.com.au/legal/</a> or contact the Production Editor.

(2008) 16 JLM 373 377

#### SUBSCRIPTION INFORMATION

The Journal of Law and Medicine comprises five parts a year.

Customer service and sales inquiries:
Tel: 1300 304 195 Fax: 1300 304 196
Web: www.thomsonreuters.com.au
Email: LTA.Service@thomsonreuters.com

Editorial inquiries: Tel: (02) 8587 7000

## HEAD OFFICE 100 Harris Street PYRMONT NSW 2009 Tel: (02) 8587 7000 Fax: (02) 8587 7100



© 2008 Thomson Reuters (Professional) Australia Limited ABN 64 058 914 668

Lawbook Co.

Published in Sydney

ISSN 1320-159X

Typeset by Thomson Reuters (Professional) Australia Limited, Pyrmont, NSW

Printed by Ligare Pty Ltd, Riverwood, NSW