
Index

A Resolve to Resolve report, 179-184, 226-227

Aboriginal dispute resolution

mediator neutrality, 153

Access to Justice (Civil Litigation Reforms) Amendment Act 2009, 17, 145

Access to Justice Report, 11

ADR practitioners

arbitrators *see* **Arbitrators**

facilitators, 174-176

conference, 163-170

guidelines, 60-62

intervention by, 146-154

lawyers as, 59-65, 155-162

guidelines, 62-64

mediators *see* **Mediators**

neutral third party, 59-60, 146-154

conference facilitators, 165-170

training, 64

AED Oil Ltd v Puffin FPSO Ltd No 2, 127-128

Albany v Albany, 199-200

Alternative dispute resolution (ADR)

A Resolve to Resolve report, 179-184, 226-227

arbitration *see* **Arbitration**

collaborative law, 204-217, 222

conferencing *see* **Conferencing**

confidentiality, breach of, 13

consensus building, 12

contract disputes, 13-14

core, 15

court, relationship with, 15

court-annexed processes, 16

damages claim, 13-14

definition, 17

encouraging use of

National Alternative Dispute Resolution Advisory Council report, 179-184

Victorian government, 44

guidelines, 60-62

identification of core issues, 12, 14

legislative changes, 17-18, 225

litigation, in place of, 14-15, 49-50

mediation *see* **Mediation**

model rules, 60-62

multi-door courthouse approach, 12-13, 52, 231-232

- multi-option response, 232
- overarching purpose, 17-18, 145
- overview, 218-220
- patent infringement, 13-14
- power imbalance, 117, 148-150, 190-191, 220
- pre-action use of, 143-145, 147, 162, 179-184, 225-233
- process, 16
- public performance rights, 13-14
- rural areas access to, 111-123
- third party neutral, 59-60
- Victorian government promotion of, 44
- Winston Churchill principle, 19-20

Arbitration

- child custody cases, 141-142
- Commercial Arbitration Act 1990 (Qld), 131
- Commercial Arbitration Act 1984 (Vic), 125, 127-129
- International, 18-19
- International Arbitration Amendment Bill 2009 (Cth), 124-125, 129
- judicial perception, 125
- legislative framework in Australia, 124
 - reform proposals, 133
 - reform under International Arbitration Amendment Bill 2009 (Cth), 125-126
- speed of resolution, 55, 142
- UNCITRAL Model Law on International Commercial Arbitration, 33, 124-126

Arbitration clauses

- interpretation, 33-43, 124-133
 - AED Oil Ltd v Puffin FPSO Ltd No 2, 127-128
 - “arising out of”, 40-41
 - “arising under”, 41-43
 - broad interpretation, 38-43
 - Fiona Trust & Holdings Corp v Privalov, 42-43
 - model clauses, 33-34
 - narrow interpretation, 34-38
 - Paharpur Cooling Towers Ltd v Paramount (WA) Ltd, 37-38
 - TCL Air Conditioner (Zhongshan) Company Ltd v Castel Electronics Pty Ltd, 129-131
 - Viridian Noosa Pty Ltd v Neumann Contractors Pty Ltd, 131-133

Arbitrators

- see also* **ADR practitioners**
- mediators as, 141-142
- standard of reasons given, 139-141
 - Thoroughvision Pty Ltd v Sky Channel Pty Ltd, 139-141

Australian Capital Territory

- collaborative law, 204-217

- Australian Constitution**, 15
- Australian University Quality Agency**, 20
- Business disputes**, 53-58
- Business relations, preservation of**, 54-55
- Calderbank letter**, 242, 246-250
- Casenotes**, 5-10, 71-76, 139-142, 199-203
 - cases in the news, 9-10, 75-76, 141-143, 203
- Child custody arbitration**, 141-142
- China**
 - Hong Kong, mediation in, 234-241
 - mediation in, 104-110
 - court-annexed, 109-110
 - definition, 106
 - history, 105
 - law, 106-107
 - settlement agreements, 108-109
 - Supreme People's Court, 107-108
- Civil Dispute Resolution Bill 2010** (Cth), 226-230, 233
- Civil Procedure Act 2010** (Vic), 202-203, 226-229, 233
- Coercion**, 218-224
- Co-facilitation**, 177
- Cognitive science, role of**, 85-96
 - colour association, 93-95
 - emotional awareness, 86-87
 - emotional centres, 88-90
 - emotional nature of the brain, 87-88
 - metaphor, use of, 91-93
 - personality, 90-91
- Collaborative law**, 204-217, 222
 - advantages and disadvantages, 213-214
 - contract, 211
 - cost, 212-213
 - costs clauses, 212
 - ethics, 212-213
 - National Centre of Collaborative Law, 205
 - practice models and procedures, 210-212
- Complainant types**, 28
- Complex cases**
 - commercial mediation, 13-15, 44-52
 - university disputes, 28-30
- Conferencing**
 - facilitators/convenors, 163
 - neutrality, 165-170

- juvenile justice, in, 164-165
- New Zealand, in, 164
- victim-offender mediation, 164
- Victoria, in, 163-170
- Wagga Wagga model, 164

Confidentiality

- ADR practitioner, 63, 114-115
- breach of, 13
- mediation, 56
 - Hong Kong, 240

Consensus building, 12

Contract disputes, 13-14

Costigan, Frank QC, 142-143

Costs

- collaborative law, 212-213
- costs clauses, 212
- litigation, 55-56
 - Calderbank letters, 244-250
 - formal offers, 243-244
 - rebuttable presumption, 245
- mediation advantage, 55-56
- mediation cost sanctions in Hong Kong, 239

Court

- ADR, relationship with, 15
- approval of agreement, 199-200
- judge-led mediation, 51-52, 77-84, 252
- process, 15-16

Court-annexed mediation, 16, 155-162, 199-202

- China, in, 109-110
- definition, 252
- Delaware court of Chancery, 45
- Malaysia, in, 251-258

Court-connected ADR, 155-162, 252

- definition, 252
- Malaysia, in, 252-254

Court-ordered mediation, 199-202

- O'Connor v Australian Postal Corporation, 200-202

Damages claims, 13-14

Deeds of settlement

- Ansett estate, in, 75-76
- Hargraves Secured Investments Ltd v Waller, 73-75
- Ward v Keen, 71-73

Delaware Court of Chancery, 44-52

- Mediation Only Program, 45-52

- conduct, 46-48
- jurisdictional requirements, 46

Discrimination, 25-26

Dispute resolution

- admissibility of documents created for, 5-8
- arbitration *see* **Arbitration**
- mediation *see* **Mediation**

Ethics, 218-224

- collaborative law, 212-213, 222
- family law, 221-222
- guilty pleas, 220-221
- mediation, 222-223

Evidence

- admissibility of documents, 5-8
 - ACCC v Allphones Retail Pty Ltd, 5-8

Facilitative mediation *see* **Mediation**

Family law

- child custody arbitration, 141-142
- coercion to settle, 221-222
- collaborative law, 204-217, 222
- family dispute resolution (FDR), 185-193
 - definition, 186
- mediation, 148, 185-193
 - coach, 192
 - mandatory, 229-230
- mediator neutrality, 152-153
- referral to step-parent as “mummy”, 76
- Round Table Dispute Management process, 191
- Telephone Dispute Resolution Service, 191
- violence, effect on mediation, 148-150, 188-193

Family Relationship Centres (FRCs)

- Victoria, in, 113, 119, 122

Fiona Trust & Holdings Corp v Privalov, 42-43

Group conferencing *see* **Conferencing**

Group facilitation, 171-178

- co-facilitation, 177
- conflict, 173
- facilitators role, 173-175
- group development, 172-173
- group functionality, 171-172
- multi-party mediation distinguished, 175-176
- personality styles, 172
- pre-facilitation, 176

- process considerations, 177-178
- trust, 173

Guilty pleas, 220-221

Hargraves Secured Investments Ltd v Waller, 73-75

Hong Kong

- Civil Justice Reforms, 234, 240
- constriction disputes, 237-238
- mediation, 234-241
 - confidentiality, 240
 - cost sanctions, 239
 - practice directions, 235-238
 - without prejudice privilege, 240
- personal injuries, 238
- underlying objectives of courts, 234-235

Human rights

- mediator neutrality, 152

Jackson Review, 227-228

Judges

- ADR practitioners, as, 51-52, 77-84, 252

Juvenile justice

- conferencing, 164

Lawyers

- ADR practitioners, as, 59-65, 155-162
 - guidelines, 62-64
 - training, 64

Litigation

- ADR in place of, 14-15, 49-50
- cause of action, 26-27
- complainant types, 28
- complex cases, 28-30
- cost, 55-56
- discrimination, 25-26
- duty to court, 232-233
- inefficiency in, tolerance of, 143-145
- Jackson Review, 227-228
- limitations, 53
- mediation compared, 54-58, 160-162
- mediation without, 14-15, 49-50
- pre-action use of, 143-145, 147, 162, 179-184, 225-233
- settlement offer, making, 242-250
- university disputes, 20-32

Malaysia

- court-connected mediation, in, 251-258
 - need for, 254-256

reactions to, 256-257

Mediation

business disputes, 53-58

business relations, preservation of, 54-55

China, in, 104-110

coach, 192

cognitive science, role of, 85-96

commercial advantages of, 56

commercial disputes, 44-52

confidentiality, 56

control, 56-57, 156-157

cost advantage, 55-56

court-annexed, 16, 155-162, 199-202

China, in, 109-110

definition, 252

Delaware court of Chancery, 45

Malaysia, in, 251-258

court approval of agreement, 199-200

Albany v Albany, 199-200

court-connected

definition, 252

court-ordered, 199-202

O'Connor v Australian Postal Corporation, 200-202

definition, 85, 156, 186, 201

Delaware Court of Chancery, 44-52

Mediation Only Program, 45-52

direct disputant participation, 157-162

disadvantaged parties, 117, 148-150, 190-191

ethical concerns, 222-223

finality, 56

goodwill, 58

Hong Kong, in, 234-241

legal representation at, 155-160

litigation

compared, 54-58, 160-162

mediation before, 143-145, 147, 162, 225-233

mediation without, 49-50

mandatory, 229-231

family law, 229-230

multi-party, 171-178

group facilitation distinguished, 175-176

Native Title claims, 16-17

non-verbal communication, 85, 88-89

- order for, 8-9
 - Oasis Fund Management Ltd v ABN Amro Bank, 8-9
- positive features, 15, 54
- power imbalance, 117, 148-150, 190-191, 220
- process, 53-54
- speed of resolution, 55, 142
- statement, 50-51
- success rates, 50, 58, 145
- termination of, 148
- venue, 57
- victim-offender mediation, 164
- violence, effect on, 148-150, 188-193
- without prejudice discussions, 57-58
- women and, 186-188

Mediators

- see also* **ADR practitioners**
- accreditation, 120
- arbitrators, as, 141-142
- choice of, 57
- emotional awareness, 86-87, 95-96
- fact finding, 61, 63
- fees, 63
- guidelines, 60-62
- independence, 60-61, 63, 146-154
- intervention by, 146-154
- judges as, 16, 51-52, 77-84, 252
 - detracting reasons, 82-83
 - supporting reasons, 81-82
- lawyers as, 59-65, 155-162
 - guidelines, 62-64
 - training, 64
- listening, 61, 63
- neutrality, 60-61, 63, 115, 146-154
 - situated theory of, 150-154
- preparation, 61, 63
- professional background, impact of, 122
- self-awareness and self-development, 97-103
- skills, 61-62
- third party neutral, 59-60

Multi-door courthouse approach, 12-13, 52, 231-232

Multi-option response, 232

Multi-party mediation, 171-178

- group facilitation distinguished, 175-176

National Alternative Dispute Resolution Advisory Council

- A Resolve to Resolve report, 179-184, 226-227
- National Mediator Accreditation System (NMAS)**
 - mediators, for, 120
- Native Title**
 - claim mediation, 16-17
 - Forum, 16
 - Tribunal, 16
- Neutral third party**, 59-60, 146-154
 - see also* **ADR practitioners; Mediators**
- Oasis Fund Management Ltd v ABN Amro Bank**, 8-9
- O'Connor v Australian Postal Corporation**, 200-202
- Ombudsman**
 - student disputes, 21, 28, 30-32
- Organisational resolution**, 11-12
- Paharpur Cooling Towers Ltd v Paramount (WA) Ltd**, 37-38
- Patent infringement**, 13-14
- People's Republic of China** *see* **China**
- Power imbalance**, 117, 148-150, 190-191
 - relationship of parties, 146-154
- Pre-facilitation**, 176
- Public performance rights**, 13-14
- Relationships Australia**
 - mediator training, 120
- A Resolve to Resolve report, 179-184, 226-227
- Restorative justice conferencing** *see* **Conferencing**
- Round Table Dispute Management process**, 191
- Rural areas access to ADR**, 111-123
 - barriers to access, 112, 114
 - awareness of services, 117-118
 - cultural differences, 115-117
 - lack of services, 118-119
 - lack of staff, 119-120
 - transport, 121
 - confidentiality, 114-115
 - characteristics of regional and rural areas, 112-113
 - cross-border concerns, 122
 - facilities, 121
 - Family Relationship Centres (FRCs), 113, 119
 - neutrality, 115
 - power imbalance, 117
 - professional differences, 122
 - Rural Dispute Settlement Centre (RDSC), 117
 - technology, role of, 121-122

- training, 120
- Rural Dispute Settlement Centre (RDSC)**
 - Victoria, in, 117
- Settlement offers**
 - Calderbank letter, 242, 246-250
 - formal offer, 242-246
 - costs, 243-244
 - making, 242-250
- Situated theory of neutrality**, 150-154
- Strategic Framework for Access to Justice**, 11
- Student disputes**, 20-32
- TCL Air Conditioner (Zhongshan) Company Ltd v Castel Electronics Pty Ltd**, 129-131
- Telephone Dispute Resolution Service**, 191
- Third party neutral**, 59-60, 146-154
 - see also* ADR practitioners; Mediators
- Thoroughvision Pty Ltd v Sky Channel Pty Ltd**, 139-141
- UNCITRAL Model Law on International Commercial Arbitration**
 - international commercial arbitration, under, 124-126
 - model arbitration clause, 33
- University**
 - academic judgment disputes, 30-31
 - internal grievance handling, 21-22, 31-32
- Victim-offender mediation**, 164
- Victoria**
 - conferencing, 163-170
 - dispute resolution procedures in rural areas, 111-123
- Violence**
 - effect on mediation, 148-150, 188-193
 - family violence, definition, 189
- Viridian Noosa Pty Ltd v Neumann Contractors Pty Ltd**, 131-133
- Ward v Keen**, 71-73
- Winston Churchill principle**, 19-20
- Without prejudice discussions**, 5-8, 57-58
- Women and mediation**, 186-188